



## **CONFLICT MINERALS POLICY**

Broadcom Inc. and each of its subsidiaries (together, the “Company”) is committed to corporate social responsibility and sourcing materials for its products in a socially and environmentally responsible way.

### **Policy**

As part of the Company’s commitment to corporate social responsibility, it is the Company’s goal to use Conflict Minerals (as defined below) that do not directly or indirectly finance or benefit armed groups in the Democratic Republic of the Congo or adjoining countries (together, the “DRC”) while continuing to support responsible mineral sourcing in the region. The Company expects its suppliers to comply with the Responsible Business Alliance (“RBA”) Code of Conduct and conduct their business in alignment with the Company’s supply chain responsibility expectations as stated in Broadcom Supplier Environmental and Social Responsibility Code of Conduct. “Conflict Minerals” are defined as Cassiterite, Columbite-Tantalite, Gold, Wolframite, or their derivatives, Tin, Tantalum and Tungsten. Additionally, the Company is reviewing the status of its use of cobalt in its manufacturing processes. The Company is committed to producing products that are responsibly sourced and complying with related legal obligations and relevant international guidelines and standards. As part of these efforts, the Company is a member of the Responsible Minerals Initiative (RMI), which is working to establish industry-wide governance standards for responsible minerals, including cobalt. We strongly support expansion of the RMI’s cobalt reporting program and our industry’s efforts to expand the smelter certification program and the audit process.

Conflict Minerals are widely used in manufacturing semiconductor and electronic devices like the Company’s products. Under the rules of the U.S. Securities and Exchange Commission, the Company is required to undertake due diligence in an effort to determine the source and chain of custody of Conflict Minerals that are necessary to the functionality or production of its products and to report publicly on an annual basis regarding its use of Conflict Minerals from the DRC in the Company’s products.

The Company implements its due diligence program for Conflict Minerals in conformance with the framework promulgated by the Organization for Economic Cooperation and Development (OECD) and its "Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas", and expects its suppliers to do the same with their respective suppliers.

The Company will:

- provide, and expects its suppliers to cooperate in providing, due diligence information, to assist us in confirming that any Conflict Minerals in our supply chain are “conflict free”;
- work with its suppliers and others on industry-wide solutions to enable products that are DRC conflict free, including: (a) encouraging its suppliers to purchase materials from smelters who are listed on the Responsible Minerals Assurance Process (“RMAP”) conformant Smelter List and have been certified as conflict-free by an independent auditor through the RMAP administered by the RBA; and (b) supporting industry efforts to expand the smelter certification program; and
- make available reports on our progress to relevant stakeholders and the public.

## **Questions and Concerns**

Concerns about, and violations of, this Conflict Minerals Policy should be reported to the Company's Compliance Officer or via Broadcom's Compliance Hotline.

You may use either of the following methods to file a report on the Compliance Hotline:

- Click on [File a Report](http://www.broadcom.ethicspoint.com) (www.broadcom.ethicspoint.com) or go to the [EthicsPoint](http://www.ethicspoint.com) website (www.ethicspoint.com); or
- Call +1 (800) 422-3240 in the United States and Canada, or for other international numbers [click here](#).

Questions about this Conflict Minerals Policy should be directed to your supervisor, Global Operations, the Legal Department or the Compliance Officer. The Global Operations Conflict Minerals lead, King Long Teoh may be reached at +604-610 5495 or at [king-long.teoh@broadcom.com](mailto:king-long.teoh@broadcom.com).

The Compliance Officer, Mark Brazeal, Chief Legal and Corporate Affairs Officer, may be reached at +1 (408) 433- 6336 or at [compliance.officer@broadcom.com](mailto:compliance.officer@broadcom.com).

Suppliers and other external parties are encouraged to contact their regular procurement or business contact at the Company if they wish to seek guidance on this Conflict Minerals Policy.